		Complete if Known						
	Application No. 09			09/909	09/909,581			
	Filing [	Date		July 20, 2001				
FEFEE TRANSMITTAL SHEET	First Named Inventor			Nathan R. Brown				
(FOR FY 2004)	Group	Art Unit	<del></del>	3723				
1 2004 E	Examir			Alvin J. Grant				
WELL SE								
COLUMN CONTRACTOR OF PAYMENT (Check One)	Atty. Docket Number			500200.02				
METHOD OF PAYMENT (Check One)	2 400	TIONAL		EE CALC	ULATION (Continued)			
. [X] The Commissioner is hereby authorized to charge any idditional fee required under 37 C.F.R. §§ 1.16 and 1.17 and	3. ADDITIONAL FEES Large Entity Small Entity							
.136(a)(3) and credit any over payments to Deposit Account o.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP	Fee Code	Fee	Fee Code	Fee (\$)	Fee Description	Fee paid		
0 <u>50-1200</u> , Deposit Account Name. <u>Boxot Fa William Fee</u>	1051	(\$) 130	2051	( <del>*</del> )	Surcharge - Late filing fee or oath	\$		
[X] Check Enclosed	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$		
FEE CALCULATION	1053	130	1053	130	Non-English specification	\$		
BASIC FILING FEE	1812	2,520	1812	2,520	For Filing a Request for ex parte Reexamination	\$		
Large Entity Small Entity	1804	920	1804	920	Requesting publication of SIR prior			
Fee Fee Fee Fee Description  (\$) Code (\$)	1805	1,840	1805	1,840	to Examiner action Requesting publication of SIR after			
1001 770 2001 385 [] Utility Filing Fee	1251	110	2251	55	Examiner action  Extension for reply within first month	9		
1002 340 2002 170 [] Design Filing Fee	1252	420	2252	210	Extension for reply within 2 <sup>nd</sup> month			
1003 530 2003 265 [] Plant Filing Fee	1253	950	2253	475	Extension for reply within 3 <sup>rd</sup> month	\$		
1004 770 2004 385 [] Reissue Filing Fee	1254	1,480	2254	740	Extension for reply within 4th month	\$		
1005 160 2005 80 [] Provisional Filing Fee	1255	2,010	2255	1,005	Extension for reply within 5 <sup>th</sup> month	\$		
Subtotal (1) \$ <u>0</u>	1401	330	2401	165	Notice of Appeal	\$		
EXTRA CLAIM FEES	1402	330	2402	165	Filing a brief in support of an appeal	\$		
Current Claims Prior Extra Fee Fee Paid	1403	290	2403	145	Request for oral hearing	\$		
Total - 20 = x \$ = \$0	1814	110	2814	55	Terminal Disclaimer Fee	\$		
Ind 3 = x \$ = \$0	1452	110	2452	55	Petition to revive – unavoidable	\$		
Multiple Dependent Claims x \$ = \$0	1453	1,330	2453	665	Petition to revive – unintentional Utility/Reissue issue fee	<del>= 1\ / (=</del>		
Subtotal (2)\$ <u>0</u> _	1501	1,330	2501	665				
Large Entity Small Entity	1502	480	2502	240	Design issue fee MAY 2	1 200\$		
Fee Fee Fee Fee Fee Pescription	1503	640	2503	320	Plant issue fee	\$		
Code (\$) Code (\$) 1202 9 Claims in excess of 20	1460	130	1460	130	Petitions to the Commission DLOGY	CENTERS		
1201 <b>86</b> 2201 <b>43</b> Independent claims in excess of 3	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	- \$		
1203 290 2203 145 Multiple dependent Claim	1806	180	1806	180	Submission of IDS  Recording each patent assignment	\$ 180		
1204 86 2204 43 Reissue independent claims over original patent	8021	40	8021	40	per property (times number of properties)	\$		
1205 18 2205 9 Reissue claims in excess of 20 and over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	\$		
handlesshade 2.50 Organic parties	Other f	ee (spec	ify)		• -7	\$		
					Subtotal (3)	\$ 180		
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<del></del>	<u> </u>			1	tal Amount of Payment:	<u>\$ 180</u>		

 Name: Mark W. Roberts, Ph.D.
 Reg. No.: 46,160
 Telephone: (206) 903-8800

 Signature
 Date: 05-11-04

AF 3733

PATENT

Thereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/909,581

Confirmation No. : 3036

Applicant: Nathan R. Brown

Filed Art Unit : July 20, 2001

Customer No.

Attorney Docket No.: 500200.02
TECHNOLOGY CENTER R3700 : 27,076

Examiner: Alvin J. Grant

: 3723

Title

: METHOD AND APPARATUS FOR CHEMICAL-MECHANICAL PLANARIZATION

OF MICROELECTRONIC SUBSTRATES WITH A CARRIER MEMBRANE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 05/18/2004 DTESSEN1 00000037 09909581

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180.00 OP

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

App. No. 09/909,581

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP

Mark W. Roberts, Ph.D. Registration No. 46,160

MWR:clr

Enclosures:

Postcard
Check
Fee Transmittal (+ copy)
Copy of Information Disclosure Statement
Form PTO-1449
Cited References (2)

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

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FORM PTO-1449

(REV.7-80)

MAY 1 7 2004

LUS. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 500200.02

APPLICATION NO. 09/909,581

INFORMATION DISCEOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT(S)

Nathan R. Brown

FILING DATE
July 20, 2001

GROUP ART UNIT

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	DATE
	AA	6,074,288	06/13/00	Nagahara et al.	451	384	IF AFFRO	<u>JFRIAT</u>
	AB	6,439,967 B2	08/27/02	Carpenter	451	41		
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